Form AB-200

# Alcohol Beverage License Application

For Municipal Use Only						
Municipality						
icense Period						
Licerise Feriod						

License(s) Requested: (up to two boxes may be checked)				Fees					
Class "A" Beer \$	Class "B" Beer \$			License F	ees	\$			
Class A" Liquor \$	Glass B" Liquor .	Backgrou	nd Check Fee	\$					
"Class A" Liquor (cider only) \$	Reserve "Class B" Liquor \$			Publicatio	n Fee	\$			
Class C" Liquor (wine only) \$			Ī	Total Fee	s	\$			
						<u>'</u>			
Part A: Premises/Business Information  1. Legal Business Name (individual name if sole prop									
1. Legal business warne (individual name il sole pro-	onetoranip)								
2. Business Trade Name or DBA									
3. FEIN	4. Wisconsin Seller's Pe				ermit Number				
5. Entity Type (check one)				· ·		<b></b>	ı.		
Sole Proprietor Partnership  6. State of Organization	Limited Liability Company Corporation  7. Date of Organization  8. Wisconsin DF					Nonprofit Organization  DFI Registration Number			
C. Clate of Organization	7. Bato of Organization	o.,		0. 111000110	iii Di i regionan	on rambor			
9. Premises Address									
10. City					11. State 12. Zip Code				
13. County	14. Governing Municip	Town	vn Village 15. Aldermanic District						
16. Premises Phone	17. Premises Email			18. We	bsite				
Premises Description - Describe the building or bare kept. Describe all rooms within the building, i only on the premises described in this application.	including living quarters	s. Authorized a	lcohol beve	rage activiti	es and storage o				
20. Mailing Address (if different from premises address	ss)								
21. City				22. State	23. Zip Code				
Part B: Questions									
Has the business (sole proprietorship, partner violating federal or state laws or local ordinal contents.)						Yes	☐ No		
If yes, list the details of violation below. Attac	ch additional sheets	if necessary.							
Law/Ordinance Violated	Location			T	rial Date				
Penalty Imposed			Was sent	tence com	oleted?	Yes	☐ No		
Law/Ordinance Violated	Location			Т	rial Date				
Penalty Imposed				Was sentence completed? Yes No					

2. Are charges for any offenses pending against the business? Exclude traffic offenses unless related to alcohol   Yes No beverages.									
If yes, describe the nature and status of pending charges using the space below. Attach additional sheets as needed.									
3. Is the applicant business or any of its officers, directors, members, agent, employees, owners, or other related individuals or entities a restricted investor with any interest in an alcohol beverage producer or distributor?   Yes No If yes, provide the name of the restricted investor and describe the nature of the interest.									
4. Is the applicant business owned by another business entity?									
4a. Name of Business Entity  4b. Business E			s Entity FEIN	Entity FEIN					
5. Have the partners, agent, or sole this license period? Submit proof							Yes No		
6. Is the applicant business indebted	•	•	•	•	•	_	Yes No		
7. Does the applicant business owe		al property ta	axes, assess	ments, or ot	her fees?	····· 📙 ՝	Yes  No		
Part C: Individual Information									
List the name, title, and phone number for Question 4: sole proprietor, all officers, di managers, and agent of a limited liability	irectors, and agent of	of a corporation	n or nonprofit	organization,					
Include Form AB-100 for each person list		ions and LLCs	s must appoint		including Form AB-1				
Last Name	First Name			Title		Phone			
Part D: Attestation	1		"			ı			
One of the following must sign and a	attest to this applic	cation:							
• sole proprietor • one ge	eneral partner of a	a partnership	• one	e corporate o	officer • one	member of	an LLC		
READ CAREFULLY BEFORE SIGNING I am acting solely on behalf of the applic rights and responsibilities conferred by ti according to the law, including but not lii to any portion of a licensed premises du revocation of this license. I understand ti understand that I may be prosecuted for ingly provides materially false informatio	cant business and n he license(s), if gra mited to, purchasin ring inspection will that any license iss submitting false sta	ot on behalf o nted, will not b g alcohol beve be deemed a ued contrary t tements and a	f any other indoe assigned to be assigned to erages from st refusal to allov to Wis. Stat. C affidavits in co	dividual or en another indivitate authorized inspection. Chapter 125 s	tity seeking the licer vidual or entity. I ag d wholesalers. I un Such refusal is a m hall be void under p this application, and	nse. Further, gree to operated derstand that is demeanor a penalty of stand that any per	I agree that the e this business lack of access and grounds for te law. I further		
Last Name			Name		· ,		M.I.		
Title		Email				Phone			
Signature				Date					
Part E: For Clerk Use Only									
Date Application Was Filed With Clerk	License Number			Date Lie	cense Granted	Date Licens	se Issued		
Signature of Clerk/Deputy Clerk				ļ	Date Provisional L	icense Issued	l (if applicable)		

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# Form AB-200 Instructions

Alcohol Beverage License Application

# Who needs an alcohol beverage license?

Any individual or entity that wants to sell alcohol beverages to consumers or allow consumption in a public place must get a retail alcohol beverage license.

# Who issues alcohol beverage licenses?

Cities, villages, and towns issue alcohol beverage licenses after the governing body (city council, town or village board) grants the license.

## **Specific Instructions**

#### License Period:

Annual licenses expire June 30 each year, except licenses issued by the City of Milwaukee. Annual licenses issued by
the City of Milwaukee also may be issued at any time throughout the year, but are valid for one year from the date of
issuance.

### License Requested and License Fees:

- Select the alcohol beverage license(s) you would like to apply for.
- Generally, you may apply for no more than two licenses for the same premises. Further, some license combinations are not acceptable, (e.g., "Class A" and a Class "B").
- For descriptions of each of the alcohol beverage licenses and their authorizations, see <u>Publication 302</u>, <u>Information for Wisconsin Alcohol Beverage and Tobacco Retailers</u>, and <u>Fact Sheet 3101</u>,
   Licenses for Retail Sale of Alcohol Beverages.
- License costs are determined by the municipality within a range set by state law. Ask your clerk how much the license, background check, and publishing fees in that municipality cost.
- License fees for licenses issued for less than one year must be prorated according to the number of months or fraction of months remaining in the licensing period.

#### Part A: Premises/Business Information

- Box 1: Enter the legal business name or individual name if a sole proprietor.
- Box 2: Enter the trade name or "doing business as" name, if different than the name in box 1.
- Box 4: Seller's permits begin with the digits "456." For questions about obtaining a seller's permit, see Seller's Permit Common Questions.
- Box 5: Check one entity type to indicate how the business is legally organized.
- Box 6-7: Provide the state and date of organization of the legal entity.
- Box 8: Provide the Wisconsin Department of Financial Institutions Registration number. This number is assigned to the
  entity when it is registered with DFI. It can be located using the Department of Financial Institution's
  Corporate Records Search.
- Boxes 9-19: All requests for "premises" information are requests for the physical location within the municipality and contact information to reach the business during open hours.
- Box 19: Describe the premises in detail. Include outdoor spaces if your municipality allows it. Some municipalities have specific requirements for outdoor spaces as a part of the licensed premises. Call your municipal clerk to learn more. Attach a floor plan if possible.

**Example:** The premises is located at 1234 Main St., Realtown, WI 12345 and includes only the first-floor bar room, dining room, kitchen, north storage room, and south office of the 5,000 square foot building.

Box 20-23 Provide the mailing address for the business, if different from the address in boxes 9-12.

# Part B: Questions

 Questions 1 and 2: Disclose any civil or criminal violations of law and pending charges in any jurisdiction (federal, state, or local ordinance). Include detailed descriptions of any violations of law involving alcohol beverages. Attach additional sheets as necessary.

- Question 3: Wisconsin law generally prohibits alcohol beverage industry members from having an interest in another tier.
  The law provides some exceptions, with limitations, for restricted investors. If the applicant business, or any of its officers, directors, members, agent, employees, owners, or other related individuals has an interest in an alcohol beverage producer or distributor, list the restricted investors and describe the nature of their interest. A restricted investor with an allowable interest in another tier must complete AB-104, Restricted Investor Affidavit. Attach additional sheets as necessary.
- Question 4: If the applicant is owned by another business entity, provide the legal entity name(s) and FEIN(s) of all upstream entity ownership. Attach an organizational chart if possible. Include all persons involved in upstream entity ownership in the table in Part C and submit Form AB-100 for each of those persons with this application.
- Question 5: Wisconsin law requires all sole proprietors, partners, and agents of corporations and LLCs to successfully complete a Wisconsin approved responsible beverage server (RBS) training course within the past two years unless one of the following applies. Submit the associated document with this application.
  - · The applicant is renewing a license, or
  - Within the past two years:
    - a. The applicant held a manager's or operator's (bartender) license.
    - b. The applicant held or was the agent of a corporation or LLC that held any municipally issued alcohol beverage license in Wisconsin.

**Note:** To learn about your responsibility to complete the responsible beverage server requirement, please review <u>Publication 302</u>, *Information for Wisconsin Alcohol Beverage and Tobacco Retailers*.

- Question 6: A licensee may only buy liquor or beer for cash or on credit terms for a period not to exceed 15 days for beer and 30 days for liquor. A person may not be issued a license if they are indebted to a wholesaler in excess of these limits.
- Question 7: Renewal of licenses may be denied pursuant to a local ordinance if the licensee owes past due municipal taxes, assessments, or other fees.

#### Part C: Individual Information

• Provide basic information for all persons involved in the retail alcohol beverage business who are owners, officers, directors, managers, members, or the agent. Include ownership information as identified in Part B, Question 4.

**Example**: Titles could include Agent, President, Treasurer, Director, Chief Financial Officer, Member, Partner, etc.

- Sole-proprietors, partners in a partnership, and the agent of an LLC or corporation must reside in Wisconsin continuously for 90 days prior to application.
- Include an Alcohol Beverage Individual Questionnaire (Form AB-100) for each person listed in this section with the submission of this application.

#### Part D: Attestation

• Read the attestation carefully, then sign and date.

#### Part E: For Clerk Use Only

- "Date license granted" means the date the municipal governing body approves the license to be issued.
- "Date license issued" means the date the municipal clerk issues the license certificate document.

## Completion and Submission of AB-200

- Submit the completed application to the clerk of the municipality in which you are applying for a license.
- License applications must be filed with the municipal clerk at least 15 days before they can be approved by the governing body, except licenses issued by municipalities within Milwaukee County. Governing bodies of municipalities within Milwaukee County establish their own period that applications must be filed with the municipal clerk.
- In addition to Form AB-200, include:
  - Form AB-100, Alcohol Beverage Individual Questionnaire, for all individiuals listed in part C
  - Form AB-101 Alcohol Beverage Appointment of Agent, for corporation, nonprofit organizations, and LLC applicants
  - License and publication fees as required by your municipality

- Responsible beverage server training course completion certificate or other acceptable replacement document described in Part B, Question 5
- Proof the applicant holds a seller's permit, such as a copy of the seller's permit document

**Note:** See <u>Publication 206</u>, *Sales Tax Exemptions for Nonprofit Organizations*, for information on when a nonprofit organization may be exempt from holding a seller's permit.

All other information and documents required by your municipality

**NOTE:** You are required by federal law to register as an Alcohol Dealer with the federal Alcohol and Tobacco Tax and Trade Bureau (TTB) before beginning business. Use <u>Form TTB F 5630.5d</u>, *Alcohol Dealer Registration*, and return the form to the address listed on the instructions.

# **Open Records**

This application is an open record under Wisconsin law (sec. 19.35, Wis. Stats.) and may be provided to the public. If this license is issued by your municipality, your municipality must report the license to the Wisconsin Department of Revenue. The department publishes a list of alcohol beverage licensees reported by municipalities. The department will not disclose personal information such as residential addresses, home phone numbers, social security numbers, age, birth date, and place of birth of individuals, including partners, officers, directors, members, managers, and agents of corporations or LLCs.

## **Assistance**

This form is designed by the Department of Revenue for use by municipal governments. If you require assistance with this form, consider reaching out to your municipal clerk for assistance with the following:

- · Submission of this application and associated forms
- · Availability and cost of certain licenses

If you have questions about alcohol beverage laws and regulations, you may contact the Department of Revenue using the contact information below.

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Website: DOR Alcohol Beverage (wi.gov)
Write: DORAlcohol@wisconsin.gov

Call: (608) 264-4573

### Resources Provided by the Department of Revenue

License frequently asked questions

Publication 302 Information for Wisconsin Alcohol Beverage and Tobacco Retailers

Publication 309 Retail Alcohol Beverage Licensing Guide for Municipalities

Fact Sheet 3101 Licenses for Retail Sale of Alcohol Beverages

Fact Sheet 3103 Licensed or Permitted Premises Description

Fact Sheet 3116 Reserve "Class B" Liquor Licenses

Fact Sheet 3118 "Class B" Liquor License Quotas